

The higher category of E_n -algebras and factorization homology as a fully extended topological field theory

Claudia Scheimbauer

(Homotopy) algebras and bimodules over them can be viewed as factorization algebras on \mathbb{R} which are locally constant (with respect to a certain stratification). Moreover, Lurie proved that E_n -algebras are equivalent to locally constant factorization algebras on \mathbb{R}^n . Starting from this I will explain how to model the Morita category of E_n -algebras as an (∞, n) -category. Every object in this category, i.e. any E_n -algebra A , is “fully dualizable” and thus gives rise to a (fully extended, in the sense of Lurie) TFT by the cobordism hypothesis of Baez-Dolan-Lurie. It turns out that this TFT can be explicitly constructed by (essentially) taking factorization homology with coefficients in the E_n -algebra A .

1 (Homotopy) algebras and bimodules as factorization algebras

1.1 What is a factorization algebra?

Factorization algebras were, inspired by the algebraic counterpart from Beilinson-Drinfeld, introduced by Costello-Gwilliam in [CG14] as the structure of observables of a quantum field theory. They can be thought of as “multiplicative cosheaves” on a topological space.

Definition 1.1. Let X be a topological space and let \mathcal{C} be a symmetric monoidal category with weak equivalences. A *prefactorization algebra* \mathcal{F} on X (with values in \mathcal{C}) is a functor

$$\mathcal{F} : \text{Open}(X) \longrightarrow \mathcal{C}$$

such that for every $U_1 \sqcup \dots \sqcup U_n \subseteq V$, we have a morphism

$$f_{U_1, \dots, U_n; V} : \mathcal{F}(U_1) \otimes \cdots \otimes \mathcal{F}(U_n) \longrightarrow \mathcal{F}(V).$$

These morphisms have to satisfy the following coherence condition: if $U_1 \amalg \cdots \amalg U_{n_i} \subseteq V_i$ and $V_1 \amalg \cdots \amalg V_k \subseteq W$, the following diagram commutes.

$$\begin{array}{ccc} \otimes_{i=1}^k \otimes_{j=1}^{n_i} \mathcal{F}(U_j) & \xrightarrow{\quad} & \otimes_{i=1}^k \mathcal{F}(V_i) \\ & \searrow & \swarrow \\ & \mathcal{F}(W) & \end{array}$$

(for $k = n_1 = n_2 = 2$)

A *factorization algebra* on M is a prefactorization algebra on M which additionally satisfies a gluing condition saying that given an open cover $\{U_i\}$ of V satisfying certain conditions, $\mathcal{F}(V)$ can be recovered from the $\mathcal{F}(U_i)$'s. This glueing condition is analogous to the one for (homotopy) (co-)sheaves.

For the exact gluing condition and more details on the theory of factorization algebras we refer to loc.cit. and to [Gin].

In particular, for $U \amalg V \subseteq W$, there is a weak equivalence

$$\mathcal{F}(U \amalg V) \simeq \mathcal{F}(U) \otimes \mathcal{F}(V). \quad (1)$$

1.2 Algebras as factorization algebras

Let A be an associative algebra over a field \mathbb{K} (of $\text{char } \mathbb{K} = 0$) and let $X = \mathbb{R}$. Then the following assignment extends by (1) to a factorization algebra \mathcal{F}_A :

$$(a, b) \longmapsto \mathcal{F}_A((a, b)) := A,$$

The morphisms $f_{U_1, \dots, U_n; V}$ are defined by multiplication in A , i.e. if $(a, b) \amalg (c, d) \subseteq (e, f)$ with $e < a < b < c < d < f$, the morphism $f_{(a,b),(c,d);(e,f)}$ is given by

$$\begin{array}{ccccccccc} e & a & b & c & d & f \\ (-) & (-) &) & (-) &) &) \\ \hline & & & & & & \\ e & a & b & c & d & f \\ (-) & (-) &) & (-) &) &) \end{array} \rightsquigarrow \begin{array}{ccccc} \mathcal{F}_A((a, b)) & \otimes & \mathcal{F}_A((c, d)) & \longrightarrow & \mathcal{F}_A((e, f)) \\ \| & & \| & & \| \\ A & \otimes & A & \xrightarrow{m} & A \end{array}$$

Associativity ensures the coherence condition.

1.3 Locally constant factorization algebras

Definition 1.2. A factorization algebra is *locally constant* if for any $U \subset V$ which are weakly equivalent, $\mathcal{F}(U) \simeq \mathcal{F}(V)$.

Given a locally constant factorization algebra \mathcal{F} on \mathbb{R} , let $A := \mathcal{F}(\mathbb{R})$. Then A is an algebra (up to homotopy), where multiplication is defined by the morphisms f

$$\begin{array}{ccccc} \mathcal{F}((a,b)) & \otimes & \mathcal{F}((c,d)) & \xrightarrow{f} & \mathcal{F}((e,f)) \\ \downarrow & & \downarrow & & \downarrow \\ A & \otimes & A & \longrightarrow & A \end{array}$$

i.e. A is an E_1 -algebra.

1.4 Bimodules as factorization algebras

Let A, B be associative algebras over \mathbb{K} , M an (A, B) -bimodule, and let $m \in M$ be a distinguished point. Then the following extends to a factorization algebra \mathcal{F}_M on \mathbb{R} : Fix a point $p \in \mathbb{R}$. For

$$\begin{array}{c} \text{---} \quad (\overset{U}{\text{---}}) \quad (\overset{p}{\bullet}) \quad (\overset{V}{\text{---}}) \quad \text{---} \\ \text{---} \quad \underset{W}{\text{---}} \end{array}$$

$$\begin{aligned} U &\longmapsto \mathcal{F}_M(U) = A, & V &\longmapsto \mathcal{F}_M(V) = B, \\ p \in W &\longmapsto \mathcal{F}_M(W) = M. \end{aligned}$$

The factorization maps are given by the bimodule structure and by

$$\begin{aligned} A \otimes B &\longrightarrow M, \\ (a, b) &\longmapsto amb. \end{aligned}$$

This special case comes from the fact that factorization algebras define pointed objects, as we can always include the empty set into any other open set, thus the inclusion $\emptyset \subseteq W$ induces a map

$$\begin{aligned} \mathbb{K} &\longrightarrow M, \\ 1 &\longmapsto m. \end{aligned}$$

1.5 Factorization algebras which are locally constant with respect to a stratification

For the full definition of a factorization algebra which is locally constant with respect to a stratification see [Gin]. For this talk, it is enough to consider the following special case:

Definition 1.3. A factorization algebra on \mathbb{R} which is locally constant with respect to a stratification of the form $\mathbb{R} = \{\text{pt}\} \sqcup (\mathbb{R} \setminus \{\text{pt}\})$ is a factorization algebra \mathcal{F} on \mathbb{R} such that

- $\mathcal{F}|_{\mathbb{R} \setminus \{\text{pt}\}}$ is locally constant,
- if $\{\text{pt}\} \in U \subseteq V$ and $U \simeq V$, then $\mathcal{F}(U) \simeq \mathcal{F}(V)$.

The factorization algebra \mathcal{F}_M defined by a bimodule M as above is locally constant with respect to the stratification $\mathbb{R} = \{p\} \sqcup (\mathbb{R} \setminus \{p\})$.

Conversely, any factorization algebra \mathcal{F} which is locally constant with respect to a stratification of the above form determines a homotopy bimodule M over homotopy algebras A, B by setting

$$\begin{aligned} M &:= \mathcal{F}(\mathbb{R}), \\ A &:= \mathcal{F}((-\infty, \text{pt})), \quad B := \mathcal{F}((\text{pt}, \infty)). \end{aligned}$$

2 The $(\infty, 1)$ -category of E_1 -algebras

As a model for $(\infty, 1)$ -algebras we use complete Segal spaces, see e.g. [Rez01].

First note that factorization algebras form a category. Taking the nerve of this category we get a simplicial set of factorization algebras. Now the complete Segal space FAlg_1 of E_1 -algebras consists of the following data:

- A simplicial set of objects: We take the simplicial subset of the simplicial set of factorization algebras whose vertices are
 $(\text{FAlg}_1)_0 = \{E_1\text{-algebras}\} = \{\text{locally constant factorization algebras on } \mathbb{R}\}$
- A simplicial set of morphisms: We take the simplicial subset of the simplicial set of factorization algebras whose vertices are

$$(\text{FAlg}_1)_1 = \left\{ \begin{array}{l} \text{factorization algebras on } \mathbb{R} \text{ which are locally constant} \\ \text{wrt a stratification of the form } \{\text{pt}\} \sqcup (\mathbb{R} \setminus \{\text{pt}\}) \end{array} \right\}$$

We get two maps $s, t : (\text{FAlg}_1)_1 \rightrightarrows (\text{FAlg}_1)_0$ sending the factorization algebra to its restriction to $(-\infty, \text{pt}) \simeq \mathbb{R}$, $(\text{pt}, \infty) \simeq \mathbb{R}$, respectively. By the previous section, morphisms correspond to (homotopy) bimodules M over (homotopy) algebras A, B . The maps s, t send the (A, B) -bimodule M to A and B , respectively.

- Simplicial sets $(\text{FAlg}_1)_n$: Simplicial subset of the simplicial set of factorization algebras whose vertices are

$$(\text{FAlg}_1)_n = \left\{ \begin{array}{l} \text{factorization algebras on } \mathbb{R} \text{ which are locally constant} \\ \text{wrt a stratification of the form } \{p_1, \dots, p_n\} \sqcup (\mathbb{R} \setminus \{p_1, \dots, p_n\}) \end{array} \right\}$$

This should be thought of the (classifying) space of n composable morphisms. Composition of morphisms in general is not unique anymore, but the space of these choices must be contractible.

Similarly to above, one can see that such a factorization algebra corresponds to the data of (homotopy) algebras A_0, \dots, A_n and (A_{i-1}, A_i) -homotopy-bimodules M_i .

Moreover, we have $(n+1)$ maps $(\mathrm{FAlg}_1)_n \xrightarrow{\sim} (\mathrm{FAlg}_1)_{n-1}$ (making FAlg_n into a bisimplicial set) defined by either forgetting A_0, M_1 or M_n, A_n , or by “collapsing” two consecutive bimodules to $M_i \otimes_{A_i} M_{i+1}$, which is an (A_{i-1}, A_{i+1}) -module.

3 The (∞, n) -category of E_n -algebras FAlg_n .

The following theorem is due to Lurie:

Theorem 3.1. *There is an equivalence of $(\infty, 1)$ -categories between*

$$\{\text{locally constant factorization algebras on } \mathbb{R}^n\} \longleftrightarrow \{E_n\text{-algebras}\}.$$

Remark 3.2. If you don't know what an E_n -algebra is, take this as a definition for this talk.

We will use this theorem to model the higher category of E_n -algebras as an n -fold complete Segal space FAlg_n using factorization algebras which are locally constant with respect to a certain stratification.

For brevity, I will only explain the simplicial sets of k -morphisms:

- objects are E_n -algebras, i.e. locally constant factorization algebras on \mathbb{R}^n .
- 1-morphisms are factorization algebras which are locally constant with respect to the stratification consisting of a hyperplane in \mathbb{R}^n orthogonal to the first coordinate axis.
- 2-morphisms are factorization algebras which are locally constant with respect to the stratification consisting of a hyperplane H in \mathbb{R}^n orthogonal to the first coordinate axis and an $(n-2)$ -dimensional affine subspace lying in H orthogonal to the second coordinate axis.

etc.

4 Factorization homology as a fully extended TFT

Definition 4.1. A *fully extended topological field theory (TFT)* is a symmetric monoidal functor

$$\mathrm{Bord}_n \longrightarrow \mathcal{C},$$

where \mathcal{C} is a symmetric monoidal (∞, n) -category and Bord_n is “the” (∞, n) -category of bordisms.

In his expository paper [Lur09], Lurie gave a sketch of proof of the following

Theorem 4.2. (*Cobordism Hypothesis of Baez-Dolan*) *A fully extended TFT is completely determined by its value at a point, which is a “fully dualizable” object in its target category \mathcal{C} .*

Main theorem

We would like to construct a fully extended topological field theory whose value at a point is a given E_n -algebra.

Problem 1. Make sense of the symmetric monoidal (∞, n) -category of bordisms. This is done in [CSb].

Problem 2. Find suitable target symmetric monoidal (∞, n) -category $\mathcal{C} = \text{FAlg}_n$, which we explained in the previous sections.

The main result of my thesis is the following theorem, which was first formulated by Lurie in [Lur09].

Theorem 4.3. [*CSa*] *Any object in FAlg_n , i.e. any E_n -algebra A is fully dualizable. The fully extended TFT determined by A explicitly is given by (essentially) taking factorization homology with coefficients in A ,*

$$\begin{aligned} \text{Bord}_n &\longrightarrow \text{FAlg}_n, \\ (M \xrightarrow{\pi} \mathbb{R}^n) &\longmapsto \pi_* \left(\int_M A \right). \end{aligned}$$

References

- [CG14] Kevin Costello and Owen Gwilliam. *Factorization algebras in perturbative quantum field theory*. Cambridge University Press, 2014. Available at <http://math.northwestern.edu/~costello/factorization.pdf>.
- [CSa] Damien Calaque and Claudia Scheimbauer. Factorization homology as a fully extended topological field theory. In preparation.
- [CSb] Damien Calaque and Claudia Scheimbauer. A note on the (∞, n) -category of cobordisms. In preparation.
- [Gin] Grégory Ginot. Notes on factorization algebras, factorization homology and applications. In *Mathematical aspects of quantum field theories*. Springer. To appear.

- [Lur09] Jacob Lurie. On the classification of topological field theories. In *Current developments in mathematics, 2008*, pages 129–280. Int. Press, Somerville, MA, 2009.
- [Rez01] Charles Rezk. A model for the homotopy theory of homotopy theory. *Trans. Amer. Math. Soc.*, 353(3):973–1007 (electronic), 2001.